

New Membership & Transfer Share Application Form

This Application form is to be used for New Applicants or Existing Hepburn Energy Members applying for shares by purchasing directly from the Hepburn Energy Cooperative OR by transferring shares from an existing member who wishes to sell.

PLEASE TICK ONE OF THE FOLLOWING:

New Membership Applicant or Existing Shareholder **buying direct from Hepburn Energy**

New Membership Applicant or Existing Shareholder **buying/transferring from an existing shareholder**

Application Details:

Requirements for Membership

Applicants may include individuals, individuals applying jointly, companies, co-operatives, trusts and superannuation funds. A member must hold the minimum number of shares, agree to be bound by the Rules and satisfy the active membership test (see Disclosure Statement)

Applying for new membership and shares

To apply for new membership and shares, applicants must complete this application form and email it to community@hepburnenergy.coop or mail it to the address at the end of the form. Payments must be made at the time of application. Full name(s) must be stated on the application form. The application form stipulates the minimum number of shares that may be applied for. The Board reserves the right to set a different minimum for priority applicants. As per the Rules, the Board will evaluate each application individually.

Transferring shares between buyers and sellers

For the detailed process of selling your shares and buying from sellers please visit www.hepburnenergy.coop/membership. Once both parties have agreed on a share parcel size and price, applicants must complete this form and email it to community@hepburnenergy.coop or mail it to the address listed at the end of this form.

Existing member applications for additional shares

Existing members should ensure that their Shareholder Reference Number (SRN) and shareholding names (as they appear on the applicant's Statement of Holdings) are entered. The member must make sure that all of their shareholding details are up to date prior to completing this form.

Joint applications

In general, Hepburn Energy prefers two individual applications over a joint application, however, joint applications will be received without prejudice. Joint applications must list the full names of both individuals and include each tax file number (TFN). Please note that only the first named applicant on the joint membership is the voting member.

Applications from minors

Minors may apply in their own right however under the Co-operatives Act minors are not eligible to stand for election or vote until they reach the age of 18 years. Parents may enter their own TFN and bank details if the child does not have these. Parents should seek their own advice as to whether any member returns they receive need to be declared as part of their income.

Applications from superannuation funds and trusts

The 'other entity' section must be completed. Trustees should obtain expert advice to determine whether this investment is an appropriate and/or allowable investment under the relevant rules.

Applications from companies, associations and co-operatives

The 'other entity' section must be completed. All organisation/s should obtain expert advice to determine whether this investment is an appropriate and/or allowable investment under their individual organisation/s governing rules.

Signatures



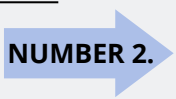
Subject to compliance with applicable law, this document may be executed electronically and an executed facsimile or electronic copy of the same will serve as a legal and binding arrangement with the same force and effect as the original.

Applicants should email community@hepburnenergy.coop or phone (03) 5348 6760 with any questions about completing the application form.

Instructions:

- There are 2 sections of this form, both must be completed. Section 1 requires information about who is applying for the shares, and Section 2 requires information about the purchase of the shares. Follow the arrows to complete the appropriate sections
- Please use a computer or a black pen, printed in capital letters
- Where a choice is required, mark the box with an 'X'
- Hepburn Energy Rules & Disclosure Statements can be found at www.hepburnenergy.coop/membership/ and must be read by applicants prior to applying

PLEASE TICK ONE OF THE FOLLOWING FOR THE BUYER:

- New Membership Local** applicant (minimum 100 shares) **GO TO NUMBER 1.** 
- New Membership non-local** applicant (minimum 1000 shares) **GO TO NUMBER 1.** 
- Existing Shareholder** applicant purchasing/transferring additional shares **GO TO NUMBER 2.** 

1. NEW MEMBER DETAILS: Please only complete if you are applying to be a NEW MEMBER

Entity Type: Please tick one of the following and complete the matching section

- Individual Joint Other Entity

Individual Application Please Complete if applying as an individual:

Applicant Name: _____

Tax File Number: _____

Date of Birth (dd/mm/yyyy): _____ ABN (only if sole trader): _____

Residential Address: _____

Town/Suburb: _____ State: _____ Postcode: _____ Country: _____

Postal Address: _____
(If differs from above)

Town/Suburb: _____ State: _____ Postcode: _____ Country: _____

Email (required): _____ Phone: _____

Joint Application Please Complete if applying for a joint holding:

Applicant 1 Name: _____

Tax File Number: _____ Date of Birth (dd/mm/yyyy): _____

Applicant 2 Name: _____

Tax File Number: _____ Date of Birth (dd/mm/yyyy): _____

Residential Address: _____

Town/Suburb: _____ State: _____ Postcode: _____ Country: _____

Postal Address: _____
(If differs from above)

Town/Suburb: _____ State: _____ Postcode: _____ Country: _____

Email (required): _____ Phone: _____

If there are further joint applicants please add details to the end of this form

Other Entity Application Please Complete if applying as an an entity

Entity Type: Please tick one of the following

Superannuation Fund Company Trust Other Entity, Please specify: _____

Entity Name: _____

ABN (Company/Super/Trust): _____

Associated Person 1 Name: _____

Relationship to Entity: _____

Associated Person 2 Name: _____

Relationship to Entity: _____

Associated Person 2 Name: _____

Relationship to Entity: _____

If there are further associated persons (trustees, directors etc.) please add to the reverse of this form

Primary Contact Name: _____

Date of Birth (dd/mm/yyyy): _____

Street Address: _____

Town/Suburb: _____ State: _____ Postcode: _____ Country: _____

Postal Address: _____
(If differs from above)

Town/Suburb: _____ State: _____ Postcode: _____ Country: _____

Email (required): _____ Phone: _____

Payment Details: To be completed by all member types. Member returns will be made to the account nominated here

Account Name: _____

Bank: _____

BSB: _____

Account Number: _____

New Member Declarations:

- I/We acknowledge that lodging this application form constitutes an offer to subscribe for shares in Hepburn Energy
- I/We have read through the Hepburn Energy New Member Applicant Information Booklet, Hepburn Energy Rules and the Disclosure Statement.
- I/We apply for membership of Hepburn Energy. I/We will maintain my/our active membership status as defined in the Hepburn Energy Rules and explained in the Disclosure Statement.

Signature: _____ Date: _____

Signature 2: _____ Date: _____
(If joint account)

Signature 2: _____ Date: _____
(If joint account)

If there are further signatures please add details to the end of this form

GO TO NUMBER 3. 

2. EXISTING MEMBER DETAILS: Please only complete if you are an EXISTING MEMBER

Shareholding Name: _____

Security Reference Number (SRN): _____

Existing Member Declarations:

- I/We acknowledge that lodging this application form constitutes an offer to subscribe for shares in Hepburn Energy

Signature: _____ Date: _____

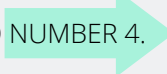
Signature 2: _____ Date: _____
(If joint account)

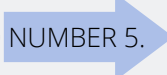
Signature 2: _____ Date: _____
(If joint account)

If there are further signatures please add details to the end of this form

GO TO NUMBER 3. 

3. PLEASE TICK ONE OF THE FOLLOWING:

Buying direct from Hepburn Energy GO TO  NUMBER 4.

Transferring from existing Hepburn Energy shareholder GO TO  NUMBER 5.

4. NEW SHARE APPLICATION: Please only complete if buying direct from the Co-operative

I/We apply for _____ shares at \$1.10 per share = _____

Local Applications 100 share minimum, Non-Local Applications 1000 share minimum

Please make payment via the Hepburn Energy Website www.hepburnenergy.coop/shop

I/We have made payment to Hepburn Energy

Signature: _____ Date: _____

Signature 2: _____ Date: _____
(if joint account)

Signature 2: _____ Date: _____
(if joint account)

If there are further signatures please add details to the end of this form



Email completed form to: community@hepburnenergy.coop

OR Post completed form to: Hepburn Energy, PO Box 225, Daylesford VIC 3460

5. SHARE TRANSFER DETAILS: Please only complete if this is a TRANSFER of shares from an existing shareholder

Shareholding Name of Transferor/s (Seller): _____

Security Reference Number (SRN) of Transferor/s (Seller): _____

Quantity of Shares for Transfer: _____ Consideration: \$ _____

I/We acknowledge that the Board will decline to recognise any instrument of transfer unless a fee of \$50 is paid by the selling member to the Co-operative, in accordance with the Hepburn Energy Rules, Appendix 1 -Schedule of charges

I/We have made payment of the \$50 administrative fee via Hepburn Energy Website www.hepburnenergy.coop/shop

I/We the registered holder/s and undersigned Seller/s for the above consideration do hereby transfer to the above name/s hereinafter called the Buyer/s, the securities as specified above standing in my/our name/s in the books of Hepburn Energy, subject to the several conditions on which I/we held the same at the time of signing hereof and I/We the Buyer/s do hereby agree to accept the said securities subject to the same conditions..

Transferor/s (Seller/s) Signature: _____ Date: _____

Transferor/s (Seller/s) Signature 2: _____ Date: _____
(if joint account)

Transferor/s (Buyer/s) Signature: _____ Date: _____

Transferee/s (Buyer/s) Signature 2: _____ Date: _____
(if joint account)

If there are further signatures please add details to the end of this form



Email completed form to: community@hepburnenergy.coop

OR Post completed form to: Hepburn Energy, PO Box 225, Daylesford VIC 3460

Further Application Notes:

Please add further note here if the space provided in the form was insufficient